Some Electromagnetic Wave Propagation Models for Moving Media

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Särkisaari 2018, Finland

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Introduction

Maxwell's equations (Maxwell 1861, Gibbs, Heaviside, Hertz 1881).

$$\partial_t \mu H + \operatorname{curl} E = K, \partial_t \varepsilon E + \sigma E - \operatorname{curl} H = -J,$$
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Compact form nowadays standard 6-vector form (Minkowski 1908, G. Schmidt 1967, R. Leis 1968):

$$M_0 = \begin{pmatrix} \varepsilon & 0 \\ 0 & \mu \end{pmatrix}, M_1 = \begin{pmatrix} \sigma & 0 \\ 0 & 0 \end{pmatrix}, A_{\text{Max}} = \begin{pmatrix} 0 & -\operatorname{curl} \\ \mathring{\operatorname{curl}} & 0 \end{pmatrix},$$

$$(\partial_t M_0 + M_1 + A_{\text{Max}}) \begin{pmatrix} E \\ H \end{pmatrix} = \begin{pmatrix} -J \\ K \end{pmatrix}.$$

Introduction

Observer in motion (with velocity field v), which leads to a Maxwell system with drift term

$$\begin{aligned} \partial_t \mu H + \frac{\partial}{\partial \nu} \mu H + \text{curl } E &= K, \\ \partial_t \varepsilon E + \frac{\partial}{\partial \nu} \varepsilon E - \text{curl } H &= -J, \end{aligned}$$
 (2)

sometimes referred to as *Maxwell-Hertz-Cohn system* (Cohn 1901, Hertz 1908). We shall discuss this and related systems in a unified functional-analytical framework (*Evo-Systems*), which facilitates comparison.

$$\left(\partial_t M_0 + M_1 + A_{\text{Max}} + \frac{\partial}{\partial v} M_0\right) \begin{pmatrix} E \\ H \end{pmatrix} = \begin{pmatrix} -J \\ K \end{pmatrix}$$

with $M_0 = \begin{pmatrix} \varepsilon & 0 \\ 0 & \mu \end{pmatrix} M_1 = \begin{pmatrix} \sigma & 0 \\ 0 & 0 \end{pmatrix}$ as before.

The Maxwell-Hertz-Cohn model has been superseded by a relativistic approach: Maxwell-Minkowski model, Minkowski 1908. Survey: Sommerfeld, Electrodynamics Lectures Vol. 3, 1952

Basic Underlying Functional Analysis Concepts Key Ideas for Evo-Systems Formal Shape of Evo-Systems

Preliminaries

The Linear Solution Theory.

Solve the *linear* equation:

$$Au = f$$
,

 $A: D(A) \subseteq H \to H, H$ a complete, real inner product space, i.e. a Hilbert space with inner product $\langle \cdot | \cdot \rangle_H$ and norm $| \cdot |_H$. Adjoint operator $A^*: D(A^*) \subseteq H \to H$:

$$\langle Ax|y \rangle_{H} = \langle x|A^{*}y \rangle_{H}, x \in D(A), y \in D(A^{*}).$$

By the projection theorem we have with

$$R(A) := \{ y | y = Ax, x \in D(A) \}, \ N(A) := \{ x | Ax = 0 \},$$
$$H = \overline{R(A)} \oplus R(A)^{\perp} \qquad H = \overline{R(A^*)} \oplus R(A^*)^{\perp}$$

$= R(A) \oplus R(A)^{-}$	$H = R(A^*) \oplus R(A^*)^-$	
$=\overline{R\left(A ight)}\oplus N\left(A^{*} ight)$	$=\overline{R(A^*)}\oplus N(A).$	
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For every $f \in H$ there is a unique $u \in D(A)$ such that Au = f

if and only if (Hadamard's requirements)

- $N(A^*) = \{0\}$ (approximate solvability),
- $R(A) = \overline{R(A)}$ (continuous dependence on data).

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If A and A^* , with $A = A^{**}$, are strictly positive definite, i.e. for some positive c_0

$$\begin{aligned} |x|_{H} |Ax|_{H} &\geq \langle x |Ax \rangle_{H} \geq c_{0} \langle x |x \rangle_{H} = c_{0} |x|_{H}^{2}, \\ |y|_{H} |A^{*}y|_{H} &\geq \langle y |A^{*}y \rangle_{H} \geq c_{0} \langle y |y \rangle_{H} = c_{0} |y|_{H}^{2}, \end{aligned}$$

then we have unique existence of solution u for every $f \in H$, in other words $u = A^{-1}f$.

Moreover,

$$|u|_{H} = |A^{-1}f|_{H} \le \frac{1}{c_{0}}|f|_{H} \text{ or } ||A^{-1}|| \le \frac{1}{c_{0}}$$

(continuous dependence on the data; Hadamard's requirements for well-posedness).

Basic Underlying Functional Analysis Concepts Key Ideas for Evo-Systems Formal Shape of Evo-Systems

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Three Key Ideas for Evo-Systems

The framework in which we discuss dynamic problems rests on the previous discussion and the following three key concepts:

- The time derivative operator ∂_t is a *strictly positive definite* linear operator.
- ② Evo-systems are strictly positive definite (yielding Hadamard well-posedness!).
- Evo-systems are beyond Hadamard well-posedness characterized by causality (!).

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Basic Underlying Functional Analysis Concepts Key Ideas for Evo-Systems Formal Shape of Evo-Systems

What are Evo-Systems?

General Form $(\pi 2009)$:

$$\partial_t V + AU = f \text{ on }]0,\infty[,$$

 $V(0+) = \Phi,$

where A is skew-selfadjoint, i.e. $A = -A^*$, in which case $\langle x | Ax \rangle_H = 0$ for $x \in D(A)$, in an underlying Hilbert space H. Without much loss of generality: $\Phi = 0$. Thus

$$\partial_t V + AU = f \text{ on } \mathbb{R}.$$
 (3)

Material Law:

$$V = \mathcal{M}U. \tag{4}$$

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The Shape of Evo-Systems

General Form of Evolutionary Problems:

$$\partial_t V + AU = f$$
 on $\mathbb{R}, V = \mathscr{M}U$.

Evo-Systems:

 $\left(\partial_{\mathsf{t}}\mathcal{M}+A\right)U=f.$

Solution Theory: Does the operator

$$(\partial_t \mathcal{M} + A)^{-1}$$

exist as a continuous linear mapping on a suitable real Hilbert space?

Which "suitable" real Hilbert space?

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Basics of the Solution Theory The Solution Theorem

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The Time Derivative as a Normal Operator

Exponential weight function $t \mapsto \exp(-\rho t)$, $\rho \in \mathbb{R}$, generates a weighted L^2 -space $H_{\rho,0}(\mathbb{R}, H)$ (inner product $\langle \cdot | \cdot \rangle_{\rho,0,0}$, norm: $| \cdot |_{\rho,0,0}$)

$$(\varphi, \psi) \mapsto \int_{\mathbb{R}} \langle \varphi(t) | \psi(t) \rangle_H \exp(-2\rho t) dt.$$

Time-differentiation ∂_t as a closed operator in $H_{
ho,0}\left(\mathbb{R},H
ight)$ induced by

$$\mathring{\mathcal{C}}_{1}(\mathbb{R},H) \subseteq H_{\rho,0}(\mathbb{R},H) \to H_{\rho,0}(\mathbb{R},H), \\ \varphi \mapsto \varphi'.$$

Time-differentiation ∂_t is a *normal* operator in $H_{\rho,0}(\mathbb{R},H)$

$$\partial_{\mathrm{t}} = \mathfrak{sym}\left(\partial_{\mathrm{t}}
ight) + \mathfrak{stew}(\partial_{\mathrm{t}}) = rac{1}{2}\left(\partial_{\mathrm{t}} + \partial_{\mathrm{t}}^{*}
ight) + rac{1}{2}\left(\partial_{\mathrm{t}} - \partial_{\mathrm{t}}^{*}
ight)$$

with $\mathfrak{sym}(\partial_t)$ self-adjoint and $\mathfrak{stew}(\partial_t)$ skew-selfadjoint with commuting resolvents:

$$\mathfrak{sym}(\partial_t)=
ho.$$

For $ho \in \mathbb{R} \setminus \{0\}$: continuous invertibility of ∂_t .

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Basics of the Solution Theory The Solution Theorem

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Basics of the Solution Theory $H_{\rho,0}(\mathbb{R},H)$

Simple Evo-Systems: $\mathcal{M} = M(\partial_t^{-1}) = M_0 + \partial_t^{-1}M_1$ $(\partial_t M_0 + M_1 + A) U = F$ Normal Form: When is $(\partial_t M_0 + M_1 + A)$ (and its adjoint) strictly

positive definite in $H_{\rho,0}(\mathbb{R},H)$ (for all sufficiently large $\rho \in]0,\infty[$)? Assumptions (E):

- A skew-selfadjoint in H (lifted to $H_{\rho,0}(\mathbb{R},H)$),
- M_0 selfadjoint¹ and $\langle u | (\rho M_0 + \mathfrak{sym}(M_1)) u \rangle_H \ge c_0 \langle u | u \rangle_H$ for all $u \in H$ and all sufficiently large $\rho \in]0, \infty[$.

The latter is for example the case if • M₀ selfadjoint, strictly positive definite on its

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Basics of the Solution Theory The Solution Theorem

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Basics of the Solution Theory The Solution Theorem

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Basics of the Solution Theory The Solution Theorem

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${}^{1}M_{0} =$	= sŋm	(M_0)
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Basics of the Solution Theory $H_{\rho,0}(\mathbb{R},H)$

Assumptions (E) imply

$$\begin{split} \left\langle \chi_{]_{-\infty,a[}}(\mathbf{m}_{0}) U | \left(\partial_{t} M_{0} + \mathfrak{sym} \left(M_{1} \right) \right) U \right\rangle_{\rho,0,0} &= \\ &= \left\langle \chi_{]_{-\infty,a[}}(\mathbf{m}_{0}) U | \chi_{]_{-\infty,a[}}(\mathbf{m}_{0}) \left(\partial_{t} M_{0} + M_{1} + A \right) U \right\rangle_{\rho,0,0} \\ &\geq c_{0} \left| \chi_{]_{-\infty,a[}}(\mathbf{m}_{0}) U \right|_{\rho,0,0}^{2} \end{split}$$

and so also $(M^* = (\mathfrak{sym}(M) + \mathfrak{stew}(M))^* = \mathfrak{sym}(M) - \mathfrak{stew}(M))$

$$egin{aligned} &\langle U | \left(
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uniformly for $U \in D(\partial_t) \cap D(A)$ and all $a \in \mathbb{R}$, $\rho \in]\rho_0, \infty[$, where ρ_0 is sufficiently large).

Basics of the Solution Theory $H_{\rho,0}(\mathbb{R},H)$

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Basics of the Solution Theory The Solution Theorem

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The Solution Theorem

Theorem

Let M_0 , M_1 and A satisfy **Assumptions (E)**. Then we have for all sufficiently large $\rho \in]0,\infty[$ that for every $f \in H_{\rho,0}(\mathbb{R},H)$ there is a unique solution $U \in H_{\rho,0}(\mathbb{R},H)$ of the problem

 $\left(\partial_{\mathrm{t}}M_{0}+M_{1}+A\right)U=f.$

The solution operator $(\partial_t M_0 + M_1 + A)^{-1}$ is continuous and causal on $H_{\rho,0}(\mathbb{R}, H)$.

The Maxwell-Gibbs-Heaviside Equations The Maxwell-Hertz-Cohn Model The Maxwell-Minkowski Model

The Maxwell-Gibbs-Heaviside Equations

Three-dimensional Maxwell equations (G = curl, $G^* = curl$)

$$\partial_t \begin{pmatrix} D \\ B \end{pmatrix} + A_{\text{Max}} \begin{pmatrix} E \\ H \end{pmatrix} = \mathscr{J},$$

with

$$A_{\text{Max}} \coloneqq \begin{pmatrix} 0 & -\operatorname{curl} \\ \overset{\circ}{\operatorname{curl}} & 0 \end{pmatrix}$$

material law

$$\begin{pmatrix} D\\B \end{pmatrix} = \begin{pmatrix} \varepsilon & 0\\ 0 & \mu \end{pmatrix} + \partial_t^{-1} \begin{pmatrix} \sigma & 0\\ 0 & 0 \end{pmatrix},$$

where $\rho \varepsilon + \mathfrak{sym}\sigma$, μ selfadjoint, strictly positive definite (for all sufficiently large $\rho \in]0,\infty[$).

The Maxwell-Gibbs-Heaviside Equations The Maxwell-Hertz-Cohn Model The Maxwell-Minkowski Model

16/23

The Maxwell-Hertz-Cohn Model

By vector analysis calculations we may re-write Maxwell's equations with a drift term in normal form as

with
$$\begin{pmatrix} \partial_0 M_0 + A_{\text{Max}} \end{pmatrix} \begin{pmatrix} \widetilde{E} \\ \widetilde{H} \end{pmatrix} = \begin{pmatrix} -J \\ K \end{pmatrix},$$
 (5)
$$\begin{pmatrix} \widetilde{E} \\ \widetilde{H} \end{pmatrix} = \begin{pmatrix} 1 & -v \times \mu \\ v \times \varepsilon & 1 \end{pmatrix} \begin{pmatrix} E \\ H \end{pmatrix},$$

as new unknowns and

$$M_{0} := \begin{pmatrix} \varepsilon & 0 \\ 0 & \mu \end{pmatrix}^{1/2} \begin{pmatrix} 1 & -\frac{v}{c} \times \\ \frac{v}{c} \times & 1 \end{pmatrix}^{-1} \begin{pmatrix} \varepsilon & 0 \\ 0 & \mu \end{pmatrix}^{1/2} = \begin{pmatrix} \varepsilon & \varepsilon v \times \mu \\ -\mu v \times \varepsilon & \mu \end{pmatrix} + \cdots$$

which is strictly positive definite if and only if

$$\frac{|v|}{c} \leq d_0 < 1.$$

The Maxwell-Gibbs-Heaviside Equations The Maxwell-Hertz-Cohn Model The Maxwell-Minkowski Model

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The Maxwell-Minkowski Model

Minkowski derived the constitutive laws of the electromagnetic field in moving media via a Lorentz transformation approach and so has the speed of light as a threshold built in. In normal form

$$\left(\partial_0 M_0 + A_{\mathrm{Max}}\right) U = F$$

$$\begin{split} M_{0} &= \\ &= \left(\begin{array}{c} \varepsilon & 0 \\ 0 & \mu \end{array} \right)^{1/2} \left(1 - \beta \left(\begin{array}{c} (1 - \alpha)(1 - P_{\nu}) & -\frac{\nu}{|\nu|} \times \\ \frac{\nu}{|\nu|} \times & (1 - \alpha)(1 - P_{\nu}) \end{array} \right) \right) \left(\begin{array}{c} \varepsilon & 0 \\ 0 & \mu \end{array} \right)^{1/2}, \\ \text{where } P_{\nu} x &= \left\langle \frac{\nu}{|\nu|} |x \right\rangle \frac{\nu}{|\nu|}. \end{split}$$

The Maxwell-Minkowski Model

Condition for positive definiteness

$$\frac{1 - \frac{|v|^2}{c_0^2}}{1 - \frac{|v|^2}{c^2}} \eqqcolon \alpha > \beta \coloneqq \left(N - N^{-1}\right) \frac{\frac{|v|}{c_0}}{1 - \frac{|v|^2}{c^2}}$$

with the refractive index $N := \frac{c_0}{c}$. This yields

$$\frac{|v|}{c} < 1 \le N.$$

We can now assume variable coefficients again, if we assume that ε, μ are scalar multipliers (isotropic media).

Panofsky & Phillips 1962, Epstein 1963, Tai 1964, van Bladel 1973-1984, Cooper & Strauss 1985, Georgiev 1989, Ivezic 2001-today, Rousseau 2006-today, Ferencz 2011

'instantaneous rest-frame hypothesis' (van Bladel) .

Same 'magic trick' used earlier in the Maxwell-Hertz-Cohn model!

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The Maxwell-Gibbs-Heaviside Equations The Maxwell-Hertz-Cohn Model The Maxwell-Minkowski Model

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The Maxwell-Hertz-Cohn Model – revisited

A more subtle approach avoids the speed constraint:

If we do not transform the drift term, we are led to a different perspective of electromagnetic fields with drift, indeed without resorting to 'magic tricks'. For this, however, we restrict $\pm v$ to have a *fixed direction*. in order to avoid more involved considerations. By appropriate rotation of the Euclidean coordinates, we may then indeed assume without loss of generality that

$$v = \alpha e_3 \coloneqq \alpha \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$
.

Main tool: the following elementary abstract lemma on operator sums.

Lemma

Let A, B be closed densely defined linear operators in a Hilbert space X such that $D(A+B) = D(A) \cap D(B)$ is dense in X. Assume there is a family of continuous linear operators $(C_{\eta})_{\eta \in]0,1[}$ such that $C_{\eta}[D(A)] \subseteq D(A)$, $C_{\eta}[D(B)] \subseteq D(B)$, $C_{\eta}^* \xrightarrow{s} 1, [A, C_{\eta}]^* \xrightarrow{s} 0, [B, C_{\eta}]^* \xrightarrow{s} 0$ as η tends to zero. Moreover, let AC_{η} be a continuous linear operator in X. Then

$$\overline{A^*+B^*}=(A+B)^*.$$

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We make the general choice that $\Omega\subseteq \mathbb{R}^3$ is a non-empty open set such that

$[\Omega] + [[\mathbb{R}] e_3] \subseteq \Omega,$

i.e. Ω is a cylindrical domain $\Omega = Q \times \mathbb{R}$ with cross section Q. In this situation, it is an easy exercise to show that ∂_3 is skew-selfadjoint in $L^2(\Omega)$. The role of C_η will here be played by resolvent terms of the form $(1 \pm \eta \partial_3)^{-1}$.

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The Maxwell-Hertz-Cohn Model – revisited

For the case that motivated our considerations we record the following result.

Theorem

Let $\alpha, \partial_3 \alpha \in L^{\infty}(\mathbb{R} \times \Omega, \mathbb{R})$ and ε, μ selfadjoint, strictly positive definite, commuting with ∂_3 in $L^2(\Omega, \mathbb{R}^3)$. Then $\left(\rho\left(\begin{array}{c}\varepsilon & 0\\ 0 & \mu\end{array}\right) + \left(\begin{array}{c}\sigma & 0\\ 0 & 0\end{array}\right) + \operatorname{sym}\left(\begin{array}{c}\partial\\\partial u\end{array}\left(\begin{array}{c}\varepsilon & 0\\ 0 & \mu\end{array}\right)\right) +$ $+\overline{(\partial_{t}-\rho)\begin{pmatrix} \varepsilon & 0\\ 0 & \mu \end{pmatrix}} + \overline{\operatorname{skew}\begin{pmatrix} \frac{\partial}{\partial v}\begin{pmatrix} \varepsilon & 0\\ 0 & \mu \end{pmatrix} \end{pmatrix}} + \begin{pmatrix} 0 & -\operatorname{curl} \\ \operatorname{curl} & 0 \end{pmatrix} \begin{pmatrix} E\\ H \end{pmatrix}} = \begin{pmatrix} -J\\ K \end{pmatrix}$ where $v = \begin{pmatrix} 0\\ 0\\ c \end{pmatrix},$ describes a class of well-posed problems with a causal solution operator if $\rho \in]0, \infty[$ is chosen sufficiently large.

THE END